

Agenda

Overview and Scrutiny Committee

Date: **Monday 4 July 2022**

Time: **5.30 pm**

Place: **Council Chamber**

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Democratic Services

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0115 901 3844

Overview and Scrutiny Committee

Membership

Chair Councillor Liz Clunie

Vice-Chair Councillor Paul Feeney

Councillor Sandra Barnes
Councillor Michael Boyle
Councillor Jim Creamer
Councillor Andrew Dunkin
Councillor Rachael Ellis
Councillor Mike Hope
Councillor Meredith Lawrence
Councillor Simon Murray
Councillor Marje Paling
Councillor Martin Smith
Councillor Sam Smith

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AGENDA

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| 1 | Apologies for absence | |
| 2 | To approve, as a correct record, the minutes of the meeting held on 25 April 2022 | 5 - 15 |
| 3 | Declaration of interests | |
| 4 | Corporate Risk Management Scorecard Quarter 4 2021/22
Report of the Head of Finance & IT | 17 - 35 |
| 5 | Scrutiny work programme
Report of the Democratic Services Manager | 37 - 43 |
| 6 | Any other item which the Chair considers urgent | |

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MINUTES OVERVIEW AND SCRUTINY COMMITTEE

Monday 25 April 2022

Councillor Liz Clunie (Chair)

Councillor Paul Feeney	Councillor Andrew Ellwood
Councillor Sandra Barnes	Councillor Simon Murray
Councillor Pat Bosworth	Councillor Marje Paling
Councillor Michael Boyle	Councillor Martin Smith
Councillor Jim Creamer	Councillor Sam Smith
Councillor Rachael Ellis	

Apologies for absence: Councillor Mike Hope

Officers in Attendance: M Cryer, S Duhra, B Hopewell, E McGinlay, E Minogue and S Palmer

Guests in Attendance: H Wheeler

30 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor Hope. Councillor Bosworth attended as substitute.

31 TO APPROVE, AS A CORRECT RECORD, THE MINUTES OF THE MEETING HELD ON 7 MARCH 2022

RESOLVED:

That the minutes of the above meeting, having been circulated, be approved as a correct record.

32 DECLARATION OF INTERESTS

None.

33 PROGRAMME OF PORTFOLIO HOLDER ATTENDANCE

The chair provided an update on dog fouling stats in the borough as requested at a previous meeting when scrutinising Councillor David Ellis' Public Protection portfolio. It was confirmed that:

- Last year was the best year for catching dog fouling and issuing fixed penalty notices (FPN)
- There were ten FPN in total between April 2021 and March 2022

- The fines were all £100 and all of them have been paid
- There were three FPN issued in the previous year, April 2020 to March 2021
- The increased number of fines was due to a higher number of CCTV reports
- DNA was not taken from any case

Members welcomed Councillor Henry Wheeler to the meeting to examine the Housing, Health & Wellbeing portfolio. Councillor Wheeler gave a presentation to members about his portfolio, giving them the opportunity to ask any questions.

Members asked whether Councillor Wheeler could use his position as a member of the Nottinghamshire Health and Wellbeing Board to find out details of the present state of GP surgeries in Gedling. He explained that:

- The Nottinghamshire Health and Wellbeing Board is a statutory public health function that aims to find out what Nottinghamshire needs to improve in health and wellbeing and to develop a strategy and delivery plan to achieve this
- The board is currently reviewing its strategy for 2022 onwards. GPs fall under primary care provision, which is not a public health function
- The governance for GP provision falls under the umbrella of the emerging Nottingham and Nottinghamshire Integrated Care System (ICS). GP surgeries are part of the local Primary Care Network structure of the ICS.
- There are four Primary care Networks (PCNs) that cut across the boundaries of Gedling Borough, which are
 - Byron PCN serves: Bestwood Village, Papplewick, Linby;
 - Arnold and Calverton PCN serves: Arnold and Calverton and surrounding villages;
 - Arrow Health PCN serves: Carlton, Daybrook, Mapperley, Burton Joyce; and
 - Synergy Health PCN serves: Carlton, Mapperley, Netherfield, Burton Joyce.
- The latest figures provided by Nottingham and Nottinghamshire Clinical Commissioning Group (CCG) show there are 107 GPs working locally within the structure.

The committee discussed how developer contributions from new housing developments would feed through into investment in GP

provision. Councillor Wheeler confirmed that Gedling Borough Council consulted the Clinical Commissioning Group Integrated Care Partnership on all planning applications received, which applied to any major residential development of ten or more new dwellings and any new residential institution (such as a care home).

When consulted, the CCG assess the likely impact of the new resident population created by development in terms of number of additional GP consultations. The calculation is based on a methodology provided by the Department of Health and takes into account the infrastructure (accommodation) requirement for consulting and treatment rooms. It was also noted that consultations with the CCG provide details of emerging plans to address capacity issues and seek to justify that contributions sought are fairly and reasonably related in scale and kind to the development.

A discussion on how the Covid-19 pandemic affected the mental health of residents was had and it was noted that:

- Around a third of adults and young people said their mental health is worse since March 2020.
- 58% of the people receiving benefits has said their mental health is currently poor.
- One in five adults did not seek support during the pandemic because they didn't think their problem was serious enough.
- Nine in ten young people (88%) have said that loneliness has made their mental health worse during the pandemic.
- The number of young people with mental health problems has risen from one in nine to one in six. 1.6 million adults are on the waiting list for mental health treatment and a further 8 million can't get onto the waiting list.
- The latest data showing the prevalence of depression in the Borough, recorded by GP practices, is below the national average, but has seen some increases in parts of the Borough according 2020/21 figures.
- During the 12 months to mid-March 2022 - the total number of referrals made to the Long COVID clinic in Nottinghamshire are 1169
- Social prescribing can help improve patient mental health and wellbeing by connecting patients to activities in the community. Social prescribing teams were implemented across South Nottinghamshire in 2020. Age UK employs

social prescribing link workers who work directly with patients to signpost them into community activity.

- The Council is working in partnership with Rushcliffe Community and Voluntary Services to implement additional resource that will further support community groups across South Notts to support residents with their health and wellbeing.

Finally, the measures the Council were taking to reduce its use of temporary accommodation was discussed. Councillor Henry Wheeler confirmed that:

- Following Cabinet approval in January 2021 of the temporary accommodation options appraisal, the Council has now taken ownership of six properties located within the Borough and aims to have all of these available in the oncoming weeks to those who are homeless or at risk of being homeless, following the completion of some essential works
- Work continues to identify and secure the two remaining properties required but since Christmas the market has slowed considerably and the number of properties within the required price bracket have been limited
- Viewings have been undertaken but only a few specific properties have been identified as suitable and following final offers the Council has been unsuccessful
- Gedling Borough Council have managed to purchase a mixture of properties spread around the Borough. The project is also currently on budget despite an overall increase in prices since January 2021.
- Gedling Borough Council is prioritising reducing expenditure on bed and breakfast accommodation by securing more temporary accommodation
- Further progress is being made to secure several Nottinghamshire County Council caretaker bungalow properties on two year leases to further strengthen the council's temporary accommodation portfolio
- Gedling Borough Council continue to try to prevent households from being made homeless rather than deal with the case once this has occurred. To aid this, the council continue to improve and investigate new proactive prevention measures.

It was noted that some of the projects/initiatives used in Gedling to reduce homelessness and the need for temporary accommodation are as follows:

- Call before you serve (CB4US) – A scheme funded to work with landlords to establish what can be achieved to avoid a notice being served and enforced.
- Goodge ads – A means of targeting our customers. Therefore when anyone within the Borough uses a google search relating to evictions or a related them it signposts them to Gedling BC's webpages for advice and contact numbers etc. Also signposts landlords to the CB4US service.
- Advice and support in doctor's surgeries. A pilot scheme ran during Covid to help people who approach their doctor for help and may need signposting to housing needs or other welfare support services.
- Successful joint funding bids were made in 2021 (GBC, RBC and BBC) to secure a total 15 units across the 3 districts for those individuals with a history of or recently found rough sleeping. Each self-contained unit will also have wrap around support provided by Framework.
- Following the Domestic Violence bill work has been undertaken and new funded roles will be created within JUNO Women's Aid. Funding has been provided to aid the Councils sanctuary scheme aimed at helping victims remain in their property safely.

RESOLVED:

- 1) To thank Councillor Wheeler for attending the meeting; and
- 2) To note the information.

34 CARBON MANAGEMENT STRATEGY AND ACTION PLAN UPDATE

Consideration was given to a report of the Head of Environment, which had been circulated in advance of the meeting, updating Members of the progress of the Carbon Management Strategy and Action Plan.

The Head of Environment began a presentation on the progress of the Carbon Management Strategy and Action Plan.

He noted that all councils across the country have been graded on their climate action plans and activities to date, with the organisation Climate Emergency UK assessing authorities across nine key sectors. Councils were given a percentage on how well they are meeting their targets and addressing each area. Gedling Borough Council has been recognised for its actions and ambitions to tackle the climate emergency, along with

Rushcliffe who both were graded the highest of the seven Nottinghamshire councils with a score of 46 per cent each.

APSE Carbon Benchmarking & Key Actions:

In order to see how much work is required to reach the council's target by 2030 the Council's carbon footprint baseline has been established and an additional piece of work on our grey fleet has been undertaken through a GBC staff travel survey. This will enable the council to monitor and cut emissions moving into the future.

Internal Influence

- In the public estate, buildings, fleet, housing, parks and woodlands
- Align procurement & commissioning with Net Zero
- Embed climate action in decision making and business cases
- Provision of training and building skills for climate change and energy system

External Influence

- Leading partnerships and supporting/co-designing initiatives
- Growing supply chains and low carbon skills and economic growth
- Local Area Energy planning for EVs and Heat Pumps, flex and storage
- Planning policies for net zero
- Transport and active travel
- Consistent recycling & waste collections
- Public engagement
- Project readiness

It was noted that the following projects had been implemented so far:

Buildings

- Installation of solar panels – Civic Centre, Richard Herrod Leisure Centre, Jubilee Depot
- Installation of energy efficient LED lighting – Civic Centre
- Installation of pool covers and new energy efficient pumps at our leisure centres.

- An energy efficient window replacement programme is included in the Council's approved budget.

Car Parks

- Installed new LED street lights.
- EV charging points installed at High Street
- Arnold (2 units)
- Fredericks Avenue, Carlton (6 units)
- Haywood Road South, Mapperley (1 unit)
- Morrison Street, Netherfield (6 Units)

Waste and Recycling:

- The Council is working with countywide partners to reduce contamination levels for recycling whilst increasing recycling rates.

Fleet

- The Council is currently trialling a number of electric vehicles which aims to reduce pollution in Gedling.

Parks and Open Spaces

- The Council has created a solar panel farm at Gedling Country Park, has a large programme of planting new trees, and is developing new wildflower pollination areas.

Planning:

- The Council is pushing developers to install electric vehicle charging points as standard on new homes, and the Council's Local Plan has a number of policies on climate change, energy efficient designs and renewable energy generation.

The Climate Change Officer, Sim Duhra, introduced herself and detailed the community engagement activities she had been involved in, outlining the action plan going forward.

The Food, Health and Housing manager then gave a brief overview of one of the actions to maximise available funding and promote schemes to help retrofit housing within the borough, prioritising low EPC rated owner occupied and rented homes (both social & private), privately owned properties (D and below) and social landlord housing where possible. He confirmed there was a scheme ongoing in Netherfield

called the Local Authority Delivery Phase 2, which is prioritising external wall insulation and solar panels.

An overview of some other council projects were also highlighted, such as the next phase of the Green Homes Retrofit. Details of which are below:

- Sustainable Warmth Competition – LAD Phase 3 and Home Upgrade Grant (HUG)
- Netherfield & Newstead Village 22/23 – TBC
- £120,000 HUG, £690,000 LAD 3, up to 69 homes. Including EWI, Solar PV, Loft, 6x Air Source Heat pumps

A summary of the current and future projects were also noted, which are as follows:

- EV charging points at Civic Centre and Gedling County Park
- Energy efficient lighting replacement programme at Civic Centre and Netherfield Car Park.
- Review into thermal windows at the Civic Centre
- Transition to a Bio fuel - Hydro treated vegetable oil, otherwise known as HVO. The next-generation low-carbon diesel, derived from renewable sources of energy plants, animals, or algae.
- Electrification/hydrogenation of fleet

The Committee then posed questions to the Head of Environment, Climate Change Officer and the Food, Health and Housing Manager:

Councillor Boyle asked whether anything can be done regarding businesses in the borough to reduce their carbon emissions.

The Climate Change Officer explained that the Environmental Health Officers go out to businesses as part of their visits and agreed that a scheme in which they assess the businesses carbon emissions is something they would like to look at. The Food, Health and Housing Manager also explained that there are national initiatives being developed to look into the sustainability of food produce as well.

Councillor Ellwood asked whether there will be issues with the Jubilee Depot facilitating a hydrogen hub and electric charging infrastructure.

The Head of Environment explained that the Council is due for transition over to HVOs over the next three to four years but the Council is

currently looking at its assets to form an overarching strategy to decide what the best course of action is to help facilitate these changes.

Councillor Creamer asked whether there is a timetable or target for when the transition to HVO will take place.

The Head of Environment explained that at present, there are not enough producers of HVO to ensure a consistent supply. Providers have explained that HVO will be supplied primarily, along with the occasional delivery of diesel where supply fails to meet demand. The current assets need to be assessed so the strategy for this is a working document and providing a timeframe for this will be difficult at this moment in time.

Councillor Martin Smith asked whether the strategic initiatives mentioned are designed to save the council money, purely for environmental purposes, or designed to achieve both.

The Head of Environment explained that the priority has to be with the environment however it is true that HVO and other Carbon reducing initiatives are generally more expensive than current resources such as diesel.

Councillor Murray left the meeting.

Councillor Ellis asked whether road safety for cyclists is being considered such as separating cyclists from the roads in newly developed areas to encourage more people to cycle to work.

The Head of Environment explained that they work closely with planning to ensure new developments facilitate cyclists in the area.

Councillor Feeney asked whether the Council's buildings are surveyed to look at energy saving methods such as double glazed windows.

The Climate Change officer explained that reports have been undertaken to assess energy saving efficiencies.

Councillor Murray returned to the meeting.

Councillor Sam Smith asked whether we have had any engagement with Nottingham County Council's Environment Ambassador in securing funding for planting trees within the borough.

The Head of Environment explained that due to the size of green patches within the borough, the council was unsuccessful in securing the

first round of funding from the county. The council is currently working to secure the second round of funding.

RESOLVED:

To note the information.

35 SEWERAGE REVIEW REPORT

Consideration was given to a report of the Head of Environment, which had been circulated in advance of the meeting. The report advised Members of the different agency's responsibilities with regards to waterways and suggested the Committee form a working group to consider the issue of sewage discharge and how this could be mitigated, cross agency.

RESOLVED to:

- 1) Note the responsibilities that different agencies hold regarding sewage discharging into watercourses; and
- 2) Form a working group to complete a more thorough review of waterways and sewage discharge within the borough.

36 SCRUTINY WORK PROGRAMME

The Democratic Services Manager introduced a report, which had been circulated in advance of the meeting, providing an update on the scrutiny work programme.

RESOLVED to:

- 1) Form a working group to review the health provision in the borough; and
- 2) Liaise with the Council's partners and invite them to a future meetings for an update on health and housing provisions.

37 ANY OTHER ITEM WHICH THE CHAIR CONSIDERS URGENT

None.

The meeting finished at 7.05 pm

Signed by Chair:

Date:

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Report to Overview & Scrutiny Committee

Subject: Corporate Risk Management Scorecard Quarter 4 2021/22

Date: 4 July 2022

Author: Head of Finance and ICT

1. Purpose of the Report

To update members of the Overview & Scrutiny Committee on the current level of assurance that can be provided against each corporate risk.

Recommendations:

That Members:

- Note the progress of actions identified within the Corporate Risk Register.

2. Background

The current Risk Management Strategy & Framework was last considered and approved by the Cabinet in October 2017.

The purpose of the Strategy and Framework is to define how risks are managed by the Council. It provides guidance on the processes, procedures, roles and responsibilities for risk, and it sets out the context on how risks are to be managed. It defines the key role for the Audit Committee as providing independent assurance to the Council with regard to the effectiveness of the risk management framework and the associated control environment. This includes the monitoring of the framework and ensuring the implementation of all audit actions.

The Corporate Risk Register is a key enabler of the Strategy and Framework, and provides assurance on the key risks identified as corporate risks.

Existing risks identified within both the Council's corporate and operational service risk registers are subject to quarterly review by senior management and on an ongoing basis through the work of Internal Audit.

3. Corporate Risk Register

This approach has meant that some of the risks included within the corporate risk register have been set at a relatively high score with the expectation that as mitigation measures are properly recorded or actions taken, then these risks should start to improve over the coming months. This is not to say that all risks will return to 'green', as mitigation measures can only go so far, and some risks may always be inherently 'red' or 'amber' as the score reflects the potential impact on the Council and the likelihood of that event occurring.

The Corporate Risk Register and supporting comments as at the end of March 2022 are appended to this report, and this includes a summary of all control gaps currently identified on the Council's Corporate Risk Register.

The last update of the Corporate Risk Scorecard was presented to Audit Committee on 15 March 2022 which provided the 2021/22 quarter 3 position.

Members are fully aware of the risks arising from the national outbreak of the coronavirus, Covid-19 which have been fully reported to all Members of the Council including the budget and performance reports, the Annual Governance Statement and previous Risk Management Scorecard reports to this Committee. The impact is ongoing and, as at quarter 4, has been updated in the risk register.

4. Financial Implications

None arising directly from this report.

5. Legal Implications

None arising directly from this report.

6. Equalities Implications

None arising directly from this report.

7. Carbon Reduction/Environmental Sustainability Implications

None arising directly from this report.

8. Appendices

Appendix 1 - Corporate Risk Register Monitoring – Quarter 4, Period Ending 31 March 2022

Appendix 2 - Risk Management Scoring Matrix

Statutory Officer Approval

Approved by: Chief Financial Officer
Date: June 2022

Approved by: Monitoring Officer
Date: June 2022

Appendix 1 - Corporate Risk Register Monitoring – Quarter 4 – Period Ending 31 March 2022

1	<p>FAILURE TO PREVENT BUDGET OVERHEATING ONCE THE BUDGET HAS BEEN SET</p> <p>Owner: Alison Ball</p> <p>Current Risk and Direction of Travel: GREEN – NO CHANGE to current risk level (maintained at B1 low likelihood, negligible impact)</p> <p>Definition:</p> <p><i>Shorter term implications of overspending budgets or not collecting as much income as forecasted. This can cause adverse impact on Council balances.</i></p> <p>Key Risk Driver: Financial Impact</p> <p>Raw Risk Value: Serious - £50k - £500k</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> The Quarter 3 budget monitoring position was reported to Cabinet on 27 January 2022 and forecast an underspend of £56.5K for the year following anticipated overspends of £22.7K and £58.8K reported at quarter 1 and Quarter 2. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> Present 2021/22 revenue and capital outturns (including carry forward requests) to Cabinet on 6 July 2022 along with Treasury Activity 2021/22 report. Capture details of impact of increasing inflation and interest rates upon Council expenditure and income and reflect significant items in the 2022/23 Quarter 1 Budget monitoring report to Cabinet on 4 August 2022.
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2	<p>FAILURE TO MAINTAIN FINANCIAL INTEGRITY</p> <p>Owner: Alison Ball</p> <p>Current Risk and Direction of Travel: RED – NO CHANGE to current risk level (maintained at E4 very high likelihood/major impact £500k to £1m).</p> <p>Definition:</p> <p><i>Affecting the ability of the Council to meet its financial commitments in the longer term.</i></p> <p>Key Risk Driver: Financial Impact</p> <p>Raw Risk Value: Critical - £1m+</p> <p>Corporate Risk Register Outstanding Controls:</p> <p>The Council's financial position remains extremely challenging and future funding remains uncertain.</p> <p><u>Actions completed during quarter4:</u></p> <ul style="list-style-type: none"> • Efficiency proposals 2022/23 to 2024/25 approved by Cabinet on 17 February 2022. A target of £0.545m was set with £0.337m in 2022/23, £0.108m in 2023/34 and £0.100m in 2024/25. • An updated Medium Term Financial Plan was included in the General Fund Revenue Budget 2022/23 report presented to Cabinet on 17 February 2022 projecting a General Fund balance of just over £1.0m at 31 March 2024. This included further efficiencies of £100,000 in 2023/24 and £900,000 in 2024/25 that it is anticipated will be required. • The main financial risk issues as detailed in the 2021/22 quarter 3 report continue to be relevant and the current assessed risk level is likely to continue until progress with the delivery of the efficiency programme is evident and secured and there is more certainty over the future of local government funding.
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Actions outstanding:

- Update the Medium Term Financial Plan following production of the 2021/22 final accounts and 2022/23 Quarter 1 budget monitoring to reflect emerging inflationary pressures arising from issues such as the aftermath of the Covid-19 pandemic, the war in Ukraine and the United Kingdom's exit from the European Union..
- Development of a Procurement and Contract Management Strategy to ensure value for money in purchasing.

3	<p>FAILURE TO PROTECT STAFF, INCLUDING HEALTH & SAFETY ISSUES</p> <p>Owner: Alison Ball</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE to current risk level (maintained at B3 low likelihood/serious impact)</p> <p>Definition:</p> <p><i>Ineffective systems, processes and equipment that can present danger to individuals or groups of employees.</i></p> <p>Key Risk Driver: Health & Safety</p> <p>Raw Risk Value: Major – Loss of life/major illness</p> <p>Corporate Risk Register Outstanding Controls:</p> <p>The 2022/23 programme of inspections will now be undertaken.</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • Health and Safety team from Bolsover DC providing health and safety support on an interim basis introduced to Gedling employees. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Prepare a new Civic Centre emergency evacuation procedure. • Evaluate interim health and safety arrangements with a view to implementing permanent solution
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4	<p>FAILURE TO RECRUIT AND RETAIN STAFF, AND MAINTAINING INTERNAL CAPACITY</p> <p>Owner: Alison Ball (David Archer)</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE to current risk level (maintained at C2 significant likelihood/minor impact).</p> <p>Definition:</p> <p><i>Associated with the particular nature of each profession, internal protocols, managerial abilities, and sickness levels.</i></p> <p>Key Risk Driver: Service Provision</p> <p>Raw Risk Value: Serious – Significant elements of a service suspended / reduced</p> <p>Corporate Risk Register Outstanding Controls:</p> <p>There is a risk that a failure to recruit to the post of Transport Manager could result in the Council not fulfilling the requirement to have a vehicle operators licence. This has been mitigated by a successful recruitment process with a new Transport Manager due to join shortly.</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • The rollout of IT equipment required to facilitate full agile working has been completed. • New Corporate Director (Environment, Communities and Leisure) and Head of Regeneration and Welfare have joined the Council. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Maintain a watching brief on increasing market pay rates that may make it more difficult to attract and retain staff. • Assess and respond to recruitment difficulties due to staff shortages in key areas.
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5	<p>FAILURE TO PROPERLY UTILISE EXISTING ICT, REACT TO TECHNOLOGY CHANGES, AND PREVENT DATA LOSS</p> <p>Owner: Alison Ball (Paul Adcock)</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE in current risk level (maintained at C3 significant likelihood/serious impact).</p> <p>Definition:</p> <p><i>The capacity of the Council to deal with the pace / scale of technological change, or its ability to use technology to address changing demands. Challenges over the security, storage and retention of both electronic and manual records, and data.</i></p> <p>Key Risk Driver: Objectives</p> <p>Raw Risk Value: Major – Directorate objectives not met</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • External third party review of the ICT service to evaluate current service provision and ensure service is adequately resourced (in both numbers and expertise) to meet current and anticipated future demands is now underway with baseline assessment almost complete.. • Budget from vacant IT Technical Officer post now being used for additional overtime, contractors and agency staff to address key work demands. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Produce a new IT Strategy following the conclusion of the ICT service review. • Produce a new Digital Strategy (replacing the now expired 2016-2019 version) after the ICT service review has been completed that aligns with the current and future Gedling Plan. • Consider proposals from AON (the Council's insurance brokers) to strengthen the Council's cyber security arrangements. • Finalise the cyber risk register as recommended following the internal audit reported to Audit Committee in March 2021.
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| | <ul style="list-style-type: none">• Review and update the Information Security Policy.• Circulate details to Members of cyber security training being provided in May and June 2022 by East Midlands Councils.• Rollout cyber security training to staff. |
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6	<p>FAILURE TO PROTECT & UTILISE PHYSICAL ASSETS</p> <p>Owner: Mike Hill (Head of Regeneration and Welfare)</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE to current risk level (maintained at C3 significant likelihood/serious impact).</p> <p>Definition:</p> <p><i>Buildings that are fit for purpose, safe, secure, and meet legislative requirements for fire, asbestos, and water-testing. Land, buildings and other assets to be recorded on a database.</i></p> <p>Key Risk Driver: Health & Safety</p> <p>Raw Risk Value: Major – Loss of life / major illness</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • The general condition surveys of Council Buildings and Suitability and Sufficiency surveys are ongoing. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Complete the asset condition and suitability surveys. • Produce a new Asset Management Plan. • Assess supply chain issues to ensure that the Council has sufficient stock of items required to ensure that its assets are properly maintained and continue to operate as intended. • Assess options for permanent solution to Mapperley Rail Tunnel access issues.
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7	<p>FAILURE TO REACT TO CHANGES IN LEGISLATION</p> <p>Owner: Fran Whyley</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE to current risk level (maintained at C3 significant likelihood/serious impact).</p> <p>Definition:</p> <p><i>Associated with current or potential changes in national or European law which can lead to possible breaches of legislation. Assessing the wider implications of new legislation on both the Council and its residents.</i></p> <p>Key Risk Driver: Financial Impact</p> <p>Raw Risk Value: Major - £500k - £1m</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • The Brazel case concerning annual leave and associated payments for irregular (eg. casual) workers still awaits a decision from the Supreme Court. No other local district councils have, as yet, reviewed their methods of calculating such entitlements. All have confirmed that they await the Supreme Court decision before they plan to reconsider due to the scale of the calculation task (one-off and ongoing). • Interim health and safety support now being provided by Bolsover DC team. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Address any implications from the Supreme Court decision in the Brazel case. This may have consequences for all employers nationally. • Assess costs and other implications of measures introduced by the Government in response to the Environment Act.
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8	<p>FAILURE OF CONTRACTORS OR PARTNERSHIP ARRANGEMENTS – CONTRACTUAL BREACHES</p> <p>Owner: Alison Ball</p> <p>Current Risk and Direction of Travel: AMBER – NO CHANGE to current risk level (maintained at B3 low likelihood/serious impact).</p> <p>Definition:</p> <p><i>Associated with the failure of contractors and partnership arrangements to deliver services or products to the agreed cost and specification.</i></p> <p>Key Risk Driver: Financial Impact</p> <p>Raw Risk Value: Serious - £50k - £500k</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • Guidance issued on Contracts and Procurement Rules following approval of updated Constitution. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Implementation of the automated contracts register in the procurement system. The system provider is to provide training. A manual version of the contracts register is in place and therefore there are no compliance issues at present. • Review indemnity clauses in terms and conditions for new contracts to ensure that they reflect the Council's risk appetite.
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9	<p>INABILITY TO DEFEND ONE-OFF CHALLENGES TO A COUNCIL DECISION OR NEW COMPENSATION TREND EMERGES</p> <p>Owner: Fran Whyley</p> <p>Current Risk and Direction of Travel: GREEN – NO CHANGE to current risk level (maintained at A3 very low likelihood/serious impact).</p> <p>Definition:</p> <p><i>Councils are increasingly vulnerable to judicial reviews and new compensation claims.</i></p> <p>Key Risk Driver: Financial Impact</p> <p>Raw Risk Value: Serious - £50k - £500k</p> <p>Corporate Risk Register Outstanding Controls:</p> <p>Whilst there is no change in risk level, there does seem to be an increase in complaints and threats of challenge which are being effectively managed but will be kept under review to ensure sufficient capacity is available.</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • No outstanding actions <p><u>Actions outstanding:</u></p> <p>There are currently no outstanding control gaps in the strategic or operational risk registers relating to this corporate risk (however a link to an action outstanding corporate risk 7 is recognised i.e. the Supreme Court case considering annual leave and associated payment for irregular workers)</p>
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10	<p>FAILURE TO MAINTAIN SERVICE STANDARDS, CUSTOMER SATISFACTION, AND/OR MEET CUSTOMER EXPECTATIONS</p> <p>Owner: Alison Ball (Fran Whyley)</p> <p>Current Risk and Direction of Travel: GREEN – IMPROVEMENT in current risk level from B2 (low likelihood, minor impact) to B1 (low likelihood, negligible impact).</p> <p>Definition:</p> <p><i>Related to channel shift to more digital on-line services but retaining the availability of face-to-face services. Affecting the competitiveness of the service (in terms of cost or quality) and/or its ability to deliver best value.</i></p> <p>Key Risk Driver: Reputation</p> <p>Raw Risk Value: Major – Adverse national publicity</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> Monitoring of complaints in respect of the ongoing impact of Covid-19 on service levels no longer required following the lifting of restrictions. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> Monitor and respond as necessary to impact upon the Council of staffing shortages in key areas.
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11	<p>FAILURE TO PREVENT DAMAGE TO THE COUNCIL'S REPUTATION</p> <p>Owner: Mike Hill</p> <p>Current Risk and Direction of Travel: GREEN – NO CHANGE to current risk level (maintained at B2 low likelihood/minor impact).</p> <p>Definition:</p> <p><i>Related to the Council's reaction to a specific event or issue, or generally a downturn in quality of service.</i></p> <p>Key Risk Driver: Reputation</p> <p>Raw Risk Value: Major – Adverse national publicity</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • No outstanding actions. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • There are currently no outstanding control gaps in the strategic or operational risk registers relating to this corporate risk.
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12	<p>FAILURE TO REACT TO AN ENVIRONMENTAL INCIDENT OR MALICIOUS ACT</p> <p>Owner: Mike Hill</p> <p>Current Risk and Direction of Travel: GREEN – NO CHANGE to current risk level (maintained at B1 low likelihood, negligible impact).</p> <p>Definition:</p> <p><i>Council reaction to a natural occurrence e.g. widespread flooding, or other events such as fire and explosions.</i></p> <p>Key Risk Driver: Reputation</p> <p>Raw Risk Value: Major – Adverse national publicity</p> <p>Corporate Risk Register Outstanding Controls:</p> <p>Climate change is expected to require businesses (including councils) and individuals to adapt their behaviour to reduce the potential of extreme weather events and other risks to public health.</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • No actions completed. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • Deliver the Carbon Management Strategy Action Plan.
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13	<p>FAILURE TO REACT TO SOCIO-ECONOMIC TRENDS</p> <p>Owner: Mike Hill</p> <p>Current Risk and Direction of Travel: GREEN - NO CHANGE to current risk level (maintained at B2 low likelihood/minor impact).</p> <p>Definition:</p> <p><i>Relating to the effects of changes in demographic, residential, or socio-economic trends on the Council's ability to meet its objectives.</i></p> <p>Key Risk Driver: Reputation</p> <p>Raw Risk Value: Serious– Adverse regional publicity</p> <p>Corporate Risk Register Outstanding Controls:</p> <p><u>Actions completed during quarter 4:</u></p> <ul style="list-style-type: none"> • No actions completed. <p><u>Actions outstanding:</u></p> <ul style="list-style-type: none"> • To undertake a 'Visioning' exercise to identify longer term forecasts for socio-economic trends and how these will be reflected in the longer term priorities for the Council to ensure services can meet future needs within available resources.
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HIGH RISK AUDIT RECOMMENDATIONS RAISED IN PREVIOUS YEARS BUT NOT YET IMPLEMENTED:

There are no high risk audit recommendations from previous years that have not been addressed and implemented.

HIGH RISK AUDIT RECOMMENDATIONS RAISED IN THIS FINANCIAL YEAR:

There has been 1 high risk recommendation reported to date during 2021/22, which was included in the Commercialisation audit which was reported to Audit Committee in the Internal Audit Progress Report on 29 June 2021. The recommendation was considered further at the Audit Committee meeting on 14 December 2021.

APPENDIX 2 - RISK MANAGEMENT SCORING MATRIX

L i k e l i h o o d	Very High	E				2		
	High	D						
	Significant	C		4	5, 6, 7			
	Low	B	1,10,12	11,13	3,8			
	Very Low	A			9			
			1	2	3	4	5	
			Negligible	Minor	Serious	Major	Critical	
			I m p a c t					

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Report to Overview and Scrutiny Committee

Subject: Scrutiny work programme

Date: 4 July 2022

Author: Democratic Services Manager

1 Purpose of the Report

To provide an update on the scrutiny work programme.

Recommendation(s)

That the overview and scrutiny committee:

- 1) Identifies any further areas for examination in the 2022/23 work programme

2 Work programme

A draft programme was discussed at the previous meeting and has been updated and attached as appendix 1.

As discussed at the last meeting, there will be future examination of the following areas and this will be scheduled after the next meeting:

- partner performance
- public parks
- facilities at recreational grounds
- relationships with friends groups

The programme of portfolio holder attendance will continue, as will other standing items such as performance, and members are invited to put forward any additional ideas for inclusion in the work programme.

To aid the committee, a copy of cabinet portfolios are attached at appendix 2.

3 Financial Implications

There are no financial implications arising from this report.

4 Legal Implications

There are no legal implication arising from this report.

5 Equalities Implications

There are no equality implications arising from this report.

6 Carbon Reduction/Environmental Sustainability Implications

There are no carbon reduction/environmental sustainability implications arising from this report.

7 Appendices

Appendix 1 – Draft work programme

Appendix 2 – Cabinet portfolios

Overview and Scrutiny Committee work programme 2022/23 - DRAFT

	Programme of portfolio holding to account	Performance review	Reports/items at committee	Current reviews/responses	Suggestions for partner performance reviews
25 Apr 22	Cllr H Wheeler (confirmed)		Carbon reduction plan update Sewerage review report		
4 Jul 22	None	Risk management			
5 Sep 22	Cllr Clarke & Payne (to confirm)	Annual report of committee Q4 performance & Gedling Plan		Review of facilities at recreational grounds Review of implementation of domestic abuse report	Jigsaw Homes (to confirm) CCG (to confirm)
21 Nov 22	Cllr Viv & Ron McCrossen	Traffic regulation orders review Q1 performance		Review of public parks	Gedling play forum (to confirm)
16 Jan 23	Cllr D Ellis (to confirm)	Q2 performance		Review of implementation of the waste policy	We R Here (to confirm)
6 Mar 23	Cllr Hollingsworth (to confirm)	Q3 performance			CA Notts & District (to confirm)
Rolling issues			Procurement policy Complaints		

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Gedling Borough Council 2022/23

Composition of Cabinet

Leader of the Council

Councillor John Clarke

- Overall strategy and delivery of agreed Council priorities and objectives.
- Oversight of all Cabinet responsibilities.
- Building and developing relationships with partners at a local, regional, national and international level to pursue matters of interest to the Council and the community.
- Representing the interests of the Council and the wider community on the Local Enterprise Partnership, Metro Board, East Midlands Councils, and other key strategic local, regional and national bodies.
- Oversight of the Council's Partnership and Collaboration Agreements with key partners.
- Building and maintaining positive relationships with and between elected Members and employees.
- Promoting and encouraging effective corporate governance and the highest standards of probity.
- Emergency Planning.
- Strategic lead for Economic Development and Inward investment.
- Community centres.
- Neighbourhood Working.
- Community Events.
- Arts and culture.
- Community Engagement and Consultation, including liaison with the voluntary sector and Parish Councils.
- Members' services, including member training and development

Deputy Leader and Portfolio Holder for Resources & Reputation

Councillor Michael Payne

- Budget strategy, financial management and local taxation.
- Asset Management, including the Council's investment property, sales and purchase of land.
- Climate Change.
- Commercialisation.

- Communications, marketing and promotion.
- Media relations.
- Customer Services, information and communications technology, including digitalisation.
- Transformation of Council services, processes and governance.
- Social Mobility.

Portfolio Holder for Public Protection

Councillor David Ellis

- Crime Reduction and Community Safety.
- Public Protection.
- CCTV and RIPA.
- Safeguarding.
- Environmental Health.
- Empty Properties

Portfolio Holder for Growth & Regeneration

Councillor Jenny Hollingsworth

- Planning policy, development management and building control.
- Transportation.
- Town Centre management and development.
- Business improvement, local business engagement, promotion and support.
- Housing Development.
- Employment and Skills.

Portfolio Holder for Housing, Health & Well-being

Councillor Henry Wheeler

- Leisure Centres and Sports Development, including lead for sport and physical activity.
- Health Promotion and development.
- Bonington Theatre.
- Homelessness and Housing Needs.
- Council housing development.
- Housing and council tax benefits.
- Liaison with Public Health and Clinical Commissioning Group.

Portfolio Holder for Environment

Councillor Ron McCrossen

- Waste Management and recycling.
- Street cleaning.
- Maintenance and development of parks, open spaces, cemeteries and Allotments.
- Pet cremation.
- Energy management and sustainability.

Portfolio Holder for Young People and Equalities

Councillor Viv McCrossen

- Play and events for young people.
- Equalities.
- Youth Council and Youth Mayor.
- Engagement and consultation with young people.
- Liaison with various bodies and agencies in relation to mental health.
- Support the Deputy Leader in the development of a Social Mobility Commission and delivery of agreed key actions.
- Work with the Portfolio holder for Growth and Regeneration in reducing levels of youth unemployment.
- Work with the Portfolio holder for Public Protection to reduce risk and fear of crime for young people.

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