

SIGNIFICANT AND STRATEGIC RISKS IDENTIFIED

Legal

1. Human Rights Act, Equal Opp.s, DDA, Fol, DPA and other legislation
2. Management of Statutory Functions
3. New political arrangements (setting of tax)
4. Members' awareness of new code of conduct and consequences
5. Maladministration
6. Quality of advice
7. Case management
92. Decriminalisation of Parking Enforcement

Contractual

8. Partnerships with community
9. Absence of clear contract (non compliance with contract law)
10. Choice of contactor
11. Cost overruns
12. Reputation of contractor (changes etc)
13. Contractor insolvency
14. Reliance on one or more contractors
15. PFI/PPP etc
94. Cultural Change Management/Industrial Relations

Technological

16. IT obsolescence
17. ICT keeping up with developments and change

18. Breakdown of communications (website developments and failures)
19. Customer expectations of websites – loss of customer focus
20. Loss of customer info (implementing new software – can we afford not to? Delivery)
21. Technology on equipment eg dustcarts
22. Virus/hackers
23. Total reliance on IT – malfunctions and accuracy (IT crashers) Security of information and access
78. IT upgrade/developments

Financial

24. TFS reviews and government intervention/changing the rules
25. “Whole of government accounts” – timescale change. (Gearing effect on Council Tax (New rules for capital)
26. Investment Strategy
27. Actuarial valuation of county pension fund
28. Communicating change of funding strategy and linking to aims/objectives
29. Insurance costs and consultants (Market movements – all)
30. Staffing and cost changes
31. Failure of funding and funding bodies
32. Recruitment and retention “could be in all categories”
33. Fraud and internal control
34. Recognising ongoing project commitments
35. Processing of bills
79. Highways Agency
80. Government RSG significantly lower than anticipated
81. Car Park Charging

- 93. Licensing (resource implications)
- 95. Freedom of Information (resource implications)

Environmental

- 36. Climate change
- 37. Pollution – poacher and gamekeeper (Contaminated land and costs)
- 38. Energy and fuel consumption – efforts to reduce (Resources eg water)
- 39. Flooding
- 40. Methane
- 41. Asbestos in buildings
- 42. “Land deals”
- 43. Health in community, increasing responsibilities
- 44. Refugees
- 45. Quality of Housing Stock
- 46. War
- 47. Crime and Disorder
- 48. Emergency plans and BCP (Air Quality)
- 49. Congestion charging? Nottingham?
- 50. Waste management and litter
- 51. Abandoned Vehicles
- 82. Countywide Waste Management Strategy – Local Implementation
- 91. Arnold Central Area redevelopment
- 96. Civil Contingencies – external environment

Customer/ Citizen

(Impact on Initiatives)

52. Public expectation – management of?(Community partnerships) Crime and Disorder (Customer choice)
53. Community Education
54. Training of contractors/visitors procedures
55. Increased rights
56. Protection by CRB (Complaints Procedures and Ombudsman)
57. Occupiers liability (Customer perception – v – reality). First aid requirements of staff)
58. “Regional Resilience” – combination of EP and BCP for community. (Anthrax or similar scares – also Colwick oil explosion etc)
- 83 Housing Stock Options & Impact
- 84 One Stop Shop

Reputation

59. Media relations, management and press image. (We are good at it but don't communicate it). (Non performance/failure of service) (External imposition e.g. Poll Tax)
60. County Council and Taxes
61. Accessibility to buildings and services
62. CPA and other inspections/results (Race relations/Equal Opps)
63. Death in community – alleged involvement of GBC (Accountability – communicating it) (Clarity of roles with others) (Partners/contractors/staffing)
64. Professionalism – eg e-mail controls etc
65. “Badging” charter marks ISO, liP etc – loss of
66. Burials and cemeteries

Professional

- 67. Personal liability eg H&S, Corporate manslaughter – professional liability. (Recruitment and retention) (Training and monitoring) (Job Specs) (Advice to third parties including reliance and others' advice).
- 68. Front line standards of behaviour monitoring. (Community leadership) quality of info and understandable to public) (Vires issues).
- 85 Changes to Senior Management Structure
- 86 Planning – following on from the Inspectorate
- 87 Domiciliary Care
- 90. Efficiency Agenda, including partnership working
- 97 Civil Contingencies – internal environment

Physical

- 69 . Civic Centre destroyed/not accessible and major staff casualties
- 70. Terrorism – threat of
- 71. Loss of non – IT info (Housing disaster and re-housing) (National disaster) Colwick situation
- 72. Failure of H&S Systems

Others not included

(LSVT – all issues)
(Joint Use funding issues and operational difficulties)

- 73. Local Plan

Election in May

- 74. ~~Local Election~~, - risk removed 2004
- 75. ~~Cost of re-run and Returning Officer responsibilities.~~ – risk removed 2004

Political

- 76. Regionalisation
- 77. Cabinet structure – individual – collective decisions? Risk – v – opportunity? (Control and Scrutiny issues) (Independent Mayor – checks and balances re-decisions)
- 88. Political Alliance
- 89 Capping